



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
PATENT TRANSMITTAL FORM

944-2681 #4
8-15-02
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Applicant: Melvin Anthony Baker

Serial No.: 10/071,845

Filed: February 8, 2002

For: ICE-MAKING MACHINERY AND A TOOL AND METHOD FOR
CONTROL THEREOF

Art Unit: 2681

Examiner: Not yet assigned

Attorney Docket No.: 385.7313USU

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AUG 09 2002

COMMISSIONER FOR PATENTS
Washington, D.C. 20231

Technology Center 2600

Dear Sir:

Transmitted herewith is:

1. Information Disclosure Statement;
2. PTO Form 1449 with copies of references;
3. Transmittal letter in duplicate; and
4. Postcard.

Please charge any additional fees or credit any such fees, if necessary to Deposit Account No. 01-0467 in the name of Ohlandt, Greeley, Ruggiero & Perle. A duplicate copy of this sheet is attached.

Respectfully submitted,

Date: August 1, 2002

Paul D. Greeley
Reg. No. 31,019
Ohlandt, Greeley, Ruggiero & Perle, L.L.P.
One Landmark Square, 10th Floor
Stamford, Connecticut 06901-2682
(203) 327-4500

CERTIFICATE OF MAILING

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE U.S. POSTAL SERVICE AS FIRST CLASS MAIL IN AN ENVELOPE ADDRESSED TO: COMMISSIONER FOR PATENTS, WASHINGTON, D.C. 20231, ON AUGUST 1, 2002.

Allison Berkman
NAME

Allison Berkman
SIGNATURE

8/1/02
DATE

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INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

In accordance with applicant's duty of disclosure under 37 C.F.R. §1.56, we are enclosing form PTO-1449 listing information that may be material to the patentability of this application.

We are also enclosing a copy of the following citations that are listed in form PTO-1449: US 5,326,027; US 5,595,342; US 5,586,446; 5,590,831; and 5,743,101.

It is applicant's belief that none of the above citations describe that which is claimed in the present invention.

It should be understood that attention has been called to the citations that have been deemed to be pertinent to the

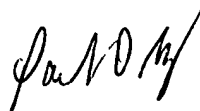
claimed present invention. In concluding what was pertinent, the criteria employed was considered most appropriate in light of the invention shown in the present application. However, the Examiner or others may deem some other criteria to be just as appropriate or more appropriate. Therefore, the Examiner is respectfully urged to review the listed citations and to make the usual careful independent search for other prior art that may be pertinent.

CERTIFICATE UNDER 37 C.F.R. §1.97(e) FOR FILING I.D.S.

The undersigned attorney hereby certifies: That each item of information contained in the attached Supplemental Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application no more than three (3) months prior to the filing of this statement.

Applicant respectfully requests favorable consideration and that this application be passed to allowance.

Respectfully submitted,



Paul D. Greeley
Reg. No. 31,019
Attorney for Applicant
Ohlandt, Greeley, Ruggiero & Perle, L.L.P.
One Landmark Square, 10th Floor
Stamford, CT 06901-2682
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FORM PTO-1449

Docket Number (Optional)

Application Number

385.7313USU

10/071,845

Applicant

Melvin Anthony Baker

Filing Date

February 8, 2002

Group Art Unit

2681

INFORMATION DISCLOSURE CITATION
IN AN APPLICATION

(Use several sheets if necessary)

U. S. PATENT DOCUMENTS

| EXAMINER INITIAL | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
|---------------------|--------------------|----------|---------------|-------|----------|-------------------------------|
| | 5,326,027 | 7/5/94 | Sulfstede | 236 | 51 | |
| | 5,595,342 | 1/21/97 | McNair et al. | 236 | 51 | |
| | 5,586,446 | 12/24/96 | Torimitsu | 62 | 126 | |
| | 5,590,831 | 1/7/97 | Manson et al. | 236 | 51 | |
| | 5,743,101 | 4/28/98 | Shida et al. | 62 | 175 | |
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FOREIGN PATENT DOCUMENTS

| | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUBCLASS | Translation | |
|--|--------------------|------|---------|-------|----------|-------------|----|
| | | | | | | YES | NO |
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OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, Etc.)

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|--|------------------------------------------------|
| | International Search Report dated 4 June 2002. |
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EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP §609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.